

# PATENT APPLICATION TRANSMITTAL LETTER

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Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

GREY L. HUCKINS

For: MANAGING REMOTE CLIENTS

Enclosed are:

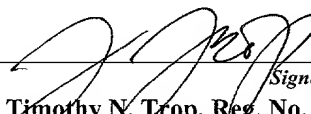
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## CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	30	- 20 =	10	x \$18.00	\$180.00
Indep. Claims	6	- 3 =	3	x \$78.00	\$234.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
TOTAL FILING FEE					\$1,104.00

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Dated: October 10, 2000

  
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PATENT AND TRADEMARK OFFICE

**APPLICATION**

**FOR**

**UNITED STATES LETTERS PATENT**

**TITLE:           MANAGING REMOTE CLIENTS**

**INVENTOR:       JEFFREY L. HUCKINS**

Express Mail No. EL661130527US

Date: October 10, 2000

## MANAGING REMOTE CLIENTS

### Background

This invention relates generally to exchanging information in networks.

5       A multicast network may enable messages to be sent to a target group of clients that constitute a subset of all of the networked clients. Generally, multicasting is accomplished by including, within a header for example, the addresses of all the subject clients that are addressed.

10       A broadcast network operator may use a server or head end of a multicast network to control groups or individual broadcast receiver client platforms for the purposes of initiating network management sessions. These sessions may be for purposes of uploading network management information  
15       to the server of head end. This allows the network operator to manage the exchange of network management information in a very scalable fashion.

20       Thus, there is a need for ways to enable the exchange of information between clients and a server, head end or broadcast network operator.

### Brief Description of the Drawings

Figure 1 is a schematic depiction of one embodiment of the present invention;

Figure 2 is a flow chart for software resident on the client shown in Figure 1 in accordance with one embodiment of the present invention;

Figure 3 is a flow chart for software resident on the server or head-end in accordance with one embodiment of the present invention;

Figure 4 is a flow chart for software resident on the client shown in Figure 1 in accordance with another embodiment of the present invention;

Figure 5 is a flow chart for software resident on the server or head end in accordance with another embodiment of the present invention;

Figure 6 is a flow chart for software resident on the client shown in Figure 1 in accordance with another embodiment of the present invention; and

Figure 7 is a flow chart for software resident on the server or head end in accordance with another embodiment of the present invention.

#### Detailed Description

Referring to Figure 1, a network may include at least one server, head-end or network operator 10 and a plurality of clients 12 (only one of which is shown). The server 10 may be coupled to a plurality of clients (including the client 12) through a distribution system that may be based on a wired system or a wireless or broadcast system.

Examples of such networks include television distribution networks such as digital video broadcasting systems.

In one embodiment of the present invention, the server 10 may communicate with the clients 12 over a transport 14. 5 The transport 14 may be in accordance with an analog or digital broadcasting system. As one example, the transport 14 may be compliant with the Digital Video Broadcast (DVB); Network-independent Protocol, ETS 300802, dated November 10 Standards Institute (ETS), Valbonne, France. The transport 14 may be a satellite, cable or airwave broadcasting system as examples.

In accordance with embodiments of the present invention, the client 12 recognizes messages directed 15 individually to that client 12 from the server 10 or in some embodiments, from other clients 12. Bandwidth may be conserved by addressing messages to a group of clients without the need to insert, within header, the individual identifiers of each of a large number of addressed clients.

20 In addition, the client 12 may include one or more addressable agents 44, 45, 46 and 48 that may be independently addressed by remote units such as the server 10. Moreover, by providing addressable agents 44, 45, 46 and 48 within a given client 12, messages that are 25 specialized or which need specialized handling may be

addressed to particular agents resident on the client 12  
for appropriate handling.

The server 10 may include a network management command  
and control server 16. The server 16 is responsible for  
5 managing the collection of data from clients 12. The  
server 16 transmits messages which include a distinct  
service identifier (e.g., service\_id=0x02). The server 10  
may also include an instant messaging or short message  
service (SMS) server 18 that also transmits messages having  
10 a distinct service identifier (e.g., service\_id=0x04).

In addition, a client disk management server 20 may be  
provided as well. The client disk management server 20 may  
transmit messages that include one or more distinct service  
identifiers (e.g., service\_id=0x01). In some cases, a  
15 variety of messages may be issued by the client disk  
management server 20 in order to initiate desired functions  
on a client's storage device or disk drive 43. For  
example, separate service identifiers may be utilized for  
the commands to create partitions, delete partitions, or  
20 modify partitions, as examples.

A data management session control server 21 may be  
responsible for handling the uploading of a data set that  
is uniquely identifiable on a client over an available  
return channel connection 47. This is a valuable  
25 capability that allows the network operator to manage the  
mining of data and the scalability of the associated

servers. A unidirectional message service type and message identifier may be used for a data management session control (DMSC) to manage data management sessions for groups of clients or individual clients. This group management is inherently supported by a unidirectional messaging service by the strategic assignment of client identifiers for all broadcast receiver clients in the network that are managed by the server 10.

The data management session control server 21 transmits messages that include one or more distinct service identifiers (e.g., service\_id=0x03). The server 21 creates a DMSC message data structure and passes information and data to the unidirectional messaging server 22 such as application-private data and data size, unique client identifier, a group flag which is a Boolean variable specifying if the client identifier is a group mask or individual client identifier, a service identifier value for the DMSC service and application-private message identifier.

In accordance with one embodiment of the present invention, the server 10 may implement a unidirectional messaging system. In a unidirectional messaging system, the server 10 may transmit messages to a plurality of clients that are unable to respond in any way. One example of such a network is a direct-to-home (DTH) broadcast network that may be compliant with the DVB protocol. The

network may use a connection oriented communication protocol or a real time connectionless communication protocol as two examples. There are many applications of unidirectional messaging from server to client such as  
5 instant messaging, command and control and notification and signaling, as examples. In other cases, the network may be a bidirectional network, for example with an Internet Protocol (IP) multicast backbone.

In one embodiment of the invention, the server 10 may  
10 include a unidirectional messaging server (UMS) 22 that is coupled to the servers 16, 18, 20 and 21 to generate messages in an appropriate format. The messages transmitted by the UMS server 22 may include messages originally generated by one of the servers 16, 18, 20 or  
15 21. The UMS server 22 may then be coupled to an Internet Protocol multicast module 24 that places the messages in an appropriate multicast protocol format. Finally, a DVB Multiprotocol Encapsulation (MPE) 26 is coupled to the Internet protocol multicast module 24. The MPE is  
20 described in DVB Specification for Data Broadcasts (EN 301 192) and Specification for Service Information (SI) in DVB Systems (EN 300 468 V1.3.1 1998-02) both available from the ETS. The output of the DVB MPE 26 and a DVB-Service Information (SI) generator 28 are coupled to the transport  
25 14. Service Information is digital data describing the



delivery system, content and scheduling/timing of broadcast data streams.

In the client 12, the stream from the DVB-SI generator 28 is coupled to a DVB-SI receiver 40 and service acquisition module 38. The service acquisition module 38 extracts a program identifier (PID) and provides it to a DVB demultiplexer 32. A tuner 30 may tune the client 12 to the appropriate channel corresponding to the extracted program identifier.

The message from the DVB MPE 26 is provided to a DVB MPE receiver 42. The receiver 42 communicates with an IP multicast module 40 and a unidirectional messaging server 38. The server 38 breaks down the message to determine whether a service identifier was included in the data stream. If so, the message is forwarded to an appropriate agent designated to receive messages with particular service identifiers.

A disk management agent 44 may be coupled to a disk drive controller 47 in turn coupled to a storage device 43 that may, for example, be a hard disk drive. The disk management agent 44 may be addressed as a message recipient by the client disk management server 20. The disk management agent 44 may cause processes to be undertaken through the controller 47 that in turn control the use of the storage device 43. This control may include determining what information is stored on the storage

device 43 and how that information is stored on the storage device 43.

In one embodiment of the present invention, the network management command and control server 16 may provide a specific message identifier that causes its message to be received by a network management session management agent 48 tuned to a particular service identifier. Similarly, messages from the instant messaging server 18 may include a service identifier that cause those messages to be forwarded to the agent 48 in the client 12. Likewise, messages from the data management session control server 21 may have appropriate identifiers that cause them to be shunted to the data management session control agent 45 on the client 12.

The server 10 may include a storage 25 that stores software 134, 70 and 102 for controlling the operation of the server 22. Likewise, the server 38 on the client 12 may be coupled to a storage 43 that stores software 50, 82 and 114 that controls the operation of the server 38. The servers 22 and 38 may also be processor-based systems.

Turning next to Figure 2, the software 50 on the client 12 initially receives the unidirectional messaging server address and port from the server 10. The client 12 may also be assigned a client identifier as indicated in block 52. Thus, an Internet Protocol multicast system may be established wherein each client has a UMS address and

port as well as a unique client identifier, assigned by the server 10. In some embodiments, the server 10 may dynamically adjust addresses and ports as well as client identifiers to enable communication of particular messages, message groups or types of messages to particular clients in a dynamic and reconfigurable fashion.

Having received its address, port and client identifier, the client 12 receiver joins a multicast group and listens for messages addressed specifically to it or to any groups that the client 12 belongs to, as indicated in block 54.

A disk management agent 44 registers its service identifier with the UMS server 38 as indicated in block 56. When the UMS server 38 receives a packet with a UMS message, as indicated in block 58, a check determines whether the particular client 12 is the intended recipient as indicated in diamond 60. If not, the message is discarded as indicated in block 62.

However, if the particular client 12 is the intended recipient, the server 38 checks the message's service identifier and passes the message to the correct agent 44, 46 or 48, as indicated in block 64. The message is then delivered to the appropriate agent 44 as indicated in block 66. In the agent 44, the information is parsed and passed to an appropriate process for handling as indicated in block 68.





used in conjunction with the client\_id field to limit the size of the private data bytes required for an application. Each of header items may include an unsigned integer most significant bit first (uimbsf) identifier in accordance  
5 with the DVB specification except for the private\_data\_byte which may include a bit string, left bit first (bslbf) identifier.

As indicated in block 80, the message is then sent to all the clients 12 on the network. Each client then  
10 determines whether the message is intended for that client. The client 12 determines whether it is the specific intended recipient by determining whether the message is addressed to the client identifier of the client 12. For example, using an AND logic operator between the message's  
15 identifier and the client's identifier, the client 12 may determine if the client 12 is within a group of clients jointly addressed by the server 10.

In one embodiment of the present invention, distinct groups of users may receive common client identifier  
20 elements. Thus, a plurality of clients whose owners have signed up for enhanced service may include a common code portion in their client identifier. When a message including that common code portion in the client identifier is received, each of those clients accepts the message.  
25 Likewise, clients in particular geographic areas, having particular interests or otherwise identifiable clients may

be given unique prefixes/suffixes or identifier code portions. The code portion may be logically ANDed with a group\_mask to determine whether a particular client is a member of the targeted group.

5           The management message header may also include fields to address the disk management agent 44, such as a volume\_name\_len field that provides the volume, name, length and bytes for the pertinent volume of a storage device 45 in the form of a hard disk drive. In one  
10           embodiment of the present invention, that field may be eight bits long and may be have a bslbf identifier. In addition, a volume\_name\_byte field may give the volume name bytes that make up the name of the volume to mount on which to create a partition. In one embodiment of the present  
15           invention, this field may be eight bits long and may include a bslbf identifier. Finally, a partition\_size field may give the size of the partition to create in bytes. This field may be thirty-two bits in size and may use the uimbsf identifier. Of course, additional fields  
20           and additional service identifiers may be utilized to implement still additional commands to the client storage device 45.

          In this way, the client disk management server 20, under the direction from the server or head-end 10, may  
25           control how the storage 43 is set up and utilized on a targeted client 12 or a targeted group of clients 12. Each

of the clients 12 may be individually addressed, the entire set of clients may be addressed or any subgroup of clients may be collectively addressed such that their storage devices 45 may be individually or collectively modified.

5 Thus, the storage devices 43 of one or more clients may be selectively controlled from the server 10.

Referring to Figure 4, the software 82 on the client 12 for implementing a network management session, initially receives the unidirectional messaging server address and  
10 port from the server 10. The client 12 may also be assigned a client identifier as indicated in block 84. Thus, an Internet Protocol multicast system may be established wherein each client has a UMS address and port as well as a unique client identifier, assigned by the  
15 server 10.

Having receives its address, port and client identifier, the client 12 receiver joins a multicast group and listens for messages addressed specifically to it or to any groups that the client 12 belongs to, as indicated in  
20 block 86.

A data management session control agent 45 registered its service identifier with the UMS server 38 as indicated in block 88. When the UMS server 38 receives a packet with a UMS message, as indicated in block 90, a check determines  
25 whether the particular client 12 is the intended recipient



as indicated in diamond 92. If not, the message is discarded as indicated in block 94.

However, if the particular client 12 is the intended recipient, the server 38 checks the message's server identifier and passes the message to the correct agent 45, as indicated in block 64. The message is then delivered to the appropriate agent 45, as indicated in block 98. In the agent 45, the information is parsed and passed to an appropriate process for handling as indicated in block 100.

10 The data management session control agent 45 receives a targeted message from the data management session control server 21 and in response thereto provides the data requested by the server 21, for example over a back channel 47. Thus, in one embodiment, the agent 45 may receive  
15 messages having a particular syntax including a ManagementMessage() which includes a group\_mask, a service\_id, a version\_id, a message\_id, a message\_byte\_count, and a DMSessionControlMessage() that in turn includes a session\_id, a session\_host\_name, a  
20 session\_start\_time, a session\_duration and a data\_id.

The session\_id is a unique session identifier. The server\_host\_name is a string host name of the data management server to establish a connection. The session\_start\_time is a date and time for the client 12 to  
25 establish the session. The session\_duration is the duration after start time that the server 21 will accept

the session and provides a time window if necessary. The data\_id is a unique identifier of information or data set to exchange during the session. The method for managing and assigning information and/or data of the identifier is application private.

Thus, the server 21 can initiate a message to the client 12 which causes the client 12 to initiate an uploading of specified data at a specified time. That is, the server 21 may specify a header including a session\_id, a server\_host\_name, a session\_start\_time, a session\_duration, and a data\_id, and in response thereto the data management session control agent 45 gathers the requested information and provides it in the requested form at the requested time.

The server 21 may provide messages with different message identifiers including a session create message, a session delete message and session update message, as examples. The session delete message simply deletes a previously created session and the session update message causes additional information to be provided for a scheduled session.

In one embodiment of the present invention, the group\_mask may include 64 bits with the uimbsf identifier. The session\_id may include eight bits with a uimbsf identifier. The version\_id may include sixteen bits with a uimbsf identifier. The message\_id may include eight bits and

have an identifier of 0x01. The message\_byte\_count may include sixteen bits within a uimbsf identifier. The DataManagementSessionControlMessage() may include the syntax session\_id with eight bits, session\_start\_time with sixty-  
5 four bits, session\_duration with thirty-two bits, and data\_id with thirty-two bits in one embodiment, all in the uimbsf identifier format. The DataManagementSessionControlMessage() may also include a server\_host\_name with one hundred twenty-eight bits with a bsibf identifier.

10 On the server side, shown in Figure 5, the network software 102 begins by assigning multicast addresses and ports for unidirectional messaging service to a plurality of clients 12 as indicated in block 104. The server 10 may also assign client identifiers in a dynamic and  
15 reconfigurable fashion. The address, port and client identifiers are then transmitted to the clients as indicated in block 106.

Thereafter, the data management session control server 21 may create a data structure and pass this data structure  
20 to the server 22 as indicated in block 108. The server 22 creates a unidirectional message and assigns a client value, sets a group flag, and copies private data in the private bytes of the message as indicated in block 110. More particularly, a unique client identifier may be  
25 assigned. The client identifier may either be a particular preassigned client identifier, or as one example, may be





information and/or data set identifier is application private. For example, a specific SNMP client MIB may be identified to upload to the server, if the client manages multiple MIBs.

5           An MIB is information on an agent that is known as the management information base. This information forms a basis for event reporting. The standards for MIBs are set forth for example in RFC 1229 distributed by the Network Working Group (May 1991).

10           Turning next to Figure 7, the operation of the network management server 16, utilizing the software 134, corresponds generally to that described previously. Again, the multicast address and port for UMS is assigned and the client identifiers are assigned as indicated in block 136,  
15           the UMS address and port and client identifier are sent to the clients as indicated in block 138, and the network management command and control server 16 creates a data structure and passes the data to the UMS server as indicated in block 140. The UMS server 22 then creates the UM  
20           message, assigns a client value, sets a group flag and copies private data into private bytes of the message as indicated in block 142. Thereafter, the message is sent (block 144).

25           Then at the predetermined time, the server 16 receives the scheduling information from the session manager 49. At the appropriate time, a session may be provided in which the

MIBs or other information is uploaded to the server 16 via the actions of the agent 48 and session manager 49.

In one embodiment of the present invention, distinct groups of users may receive common client identifier elements. Thus, a plurality of clients whose owners have signed up for enhanced service may include a common code portion in their client identifier. When a message including that common code portion in the client identifier is received, each of those clients accepts the message.

While the present invention has been described with respect to a limited number of embodiments, those skilled in the art will appreciate numerous modifications and variations therefrom. It is intended that the appended claims cover all such modifications and variations as fall within the true spirit and scope of this present invention.

What is claimed is:







5           schedule the uploading of information from said  
6           system to said server based on said message.

1           12. The article of claim 11 further storing  
2           instructions that enable a processor-based system to:  
3                 assign an individual identifier to each of the  
4           clients comprising a set of clients including said system;  
5                 assign a group identifier to a subset of the  
6           clients within the set of clients; and  
7                 enable the system to determine whether a message  
8           is sent to the system or to the subset.

1           13. The article of claim 12 further storing  
2           instructions that enable the processor-based system to send  
3           a message to a client in a unidirectional messaging system.

1           14. The article of claim 11 further storing  
2           instructions that enable the processor-based system to  
3           decode a command within said message to modify the storage  
4           of information on a storage device.

1           15. The article of claim 11 further storing  
2           instructions that enable the processor-based system to  
3           locate an identifier within said message that specifies an  
4           agent on said system to handle said message, and forward  
5           said message to said agent.



6 uploading of information to said server based on said  
7 message.

1 21. The system of claim 20 wherein said storage  
2 stores instructions that enable the device to compare a  
3 group identifier in a message to determine whether the  
4 device is within a group addressed by said server.

1 22. The system of claim 20 wherein said storage  
2 stores instructions that enable said processor-based device  
3 to locate an identifier within said message that specifies  
4 an agent on said device to handle said message and forward  
5 said message to said agent.

1 23. A method comprising:  
2 transmitting a message to a client; and  
3 scheduling the uploading of information on said  
4 client based on said message.

1 24. The method of claim 23 further storing  
2 instructions that enable the processor-based system to  
3 receive an upload of data over a back channel from a  
4 client.







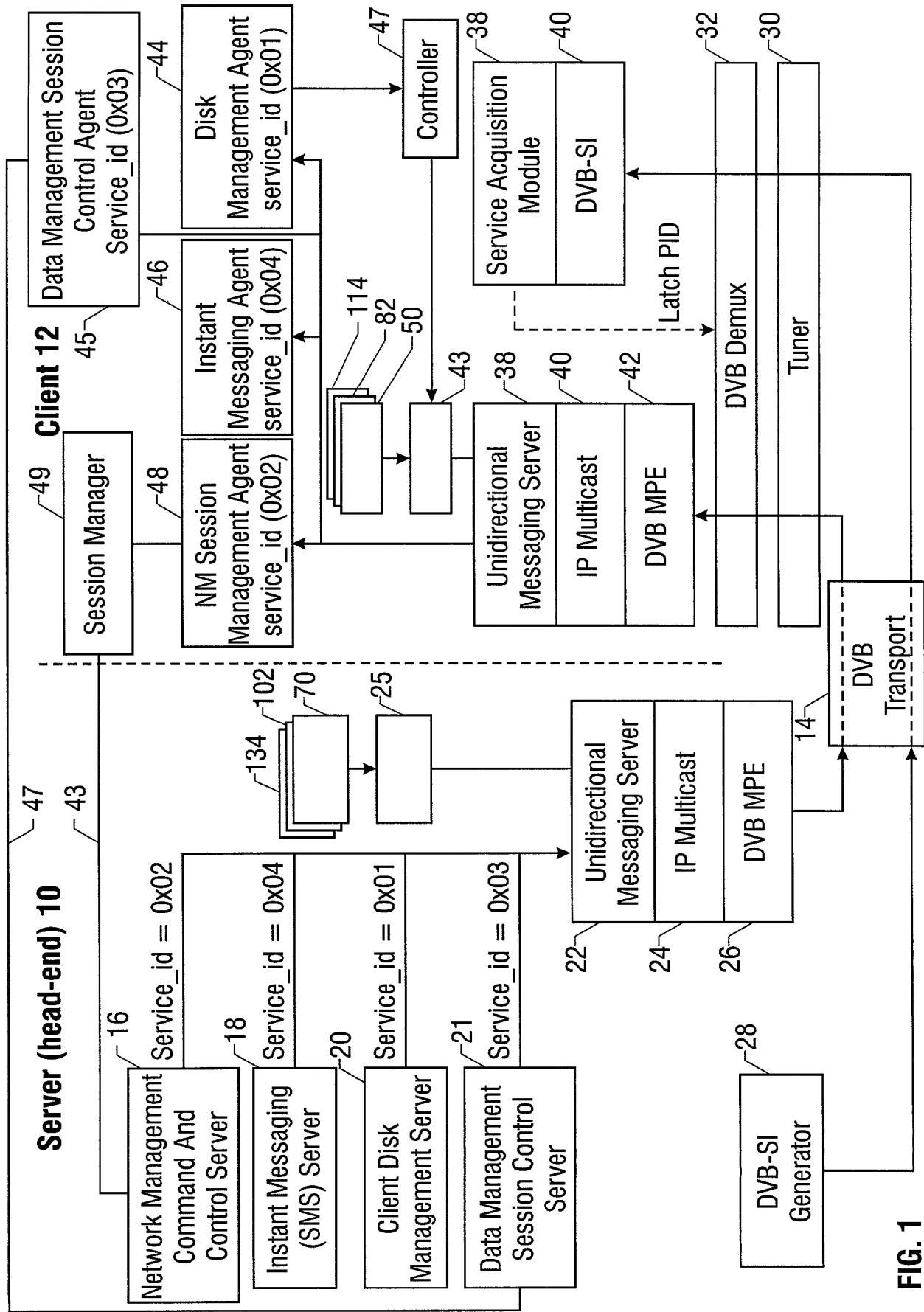


FIG. 1



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graph TD
    50([Client UMS]) --> 52[Receive UMS Addresses  
Port And Client ID]
    52 --> 54[UMS Receiver Joins Multicast  
Group And Listens For Messages]
    54 --> 56[Disk Management Agent Registers  
Service-ID With UMS Receiver]
    56 --> 58[UMS Receiver Receives  
Packet With UMS Message]
    58 --> 60{Intended  
Recipient  
?}
    60 -- NO --> 62[Discard]
    60 -- YES --> 64[UMS Receiver Checks Service ID And  
Passes Message To Correct Agent]
    64 --> 66[Message Delivery]
    66 --> 68[Info Parsed And Passed To Process]
    68 --> 62
    62 --> End([End])
  
```

FIG. 2

FIG. 2

Network UMS

## Assign Multicast Address And Port For UMS And Assign Client IDs

## UMS Address And Port And Client IDs Sent To Clients

Disk Management Server  
Creates Data Structure  
And Passes Data To UMS Server

UMS Server Creates UM Message,  
Assigns Client Value, Sets Group Flag  
And Copies Private Data Into Private  
Bytes Of Message

Message Sent

End

FIG. 3

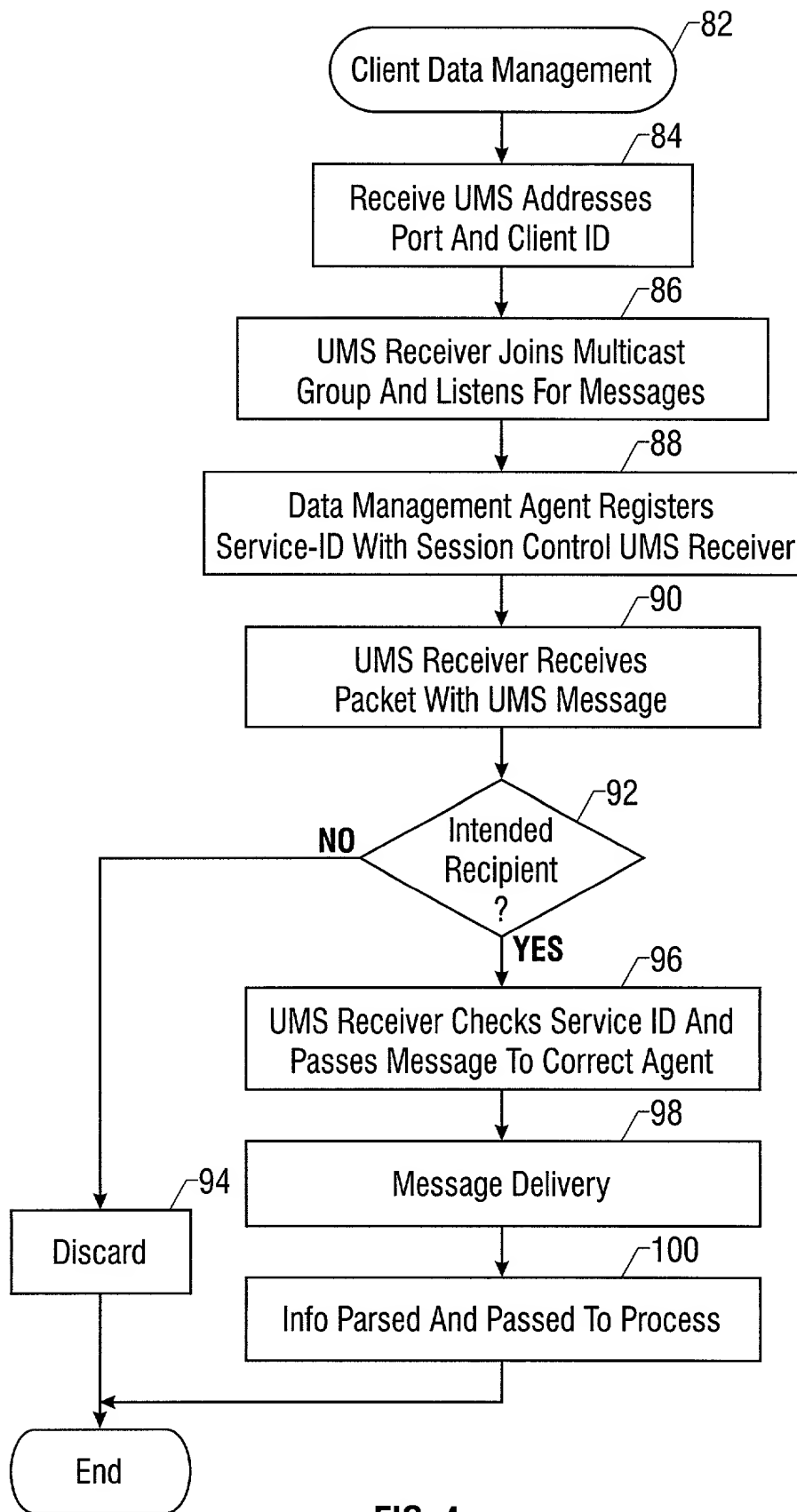
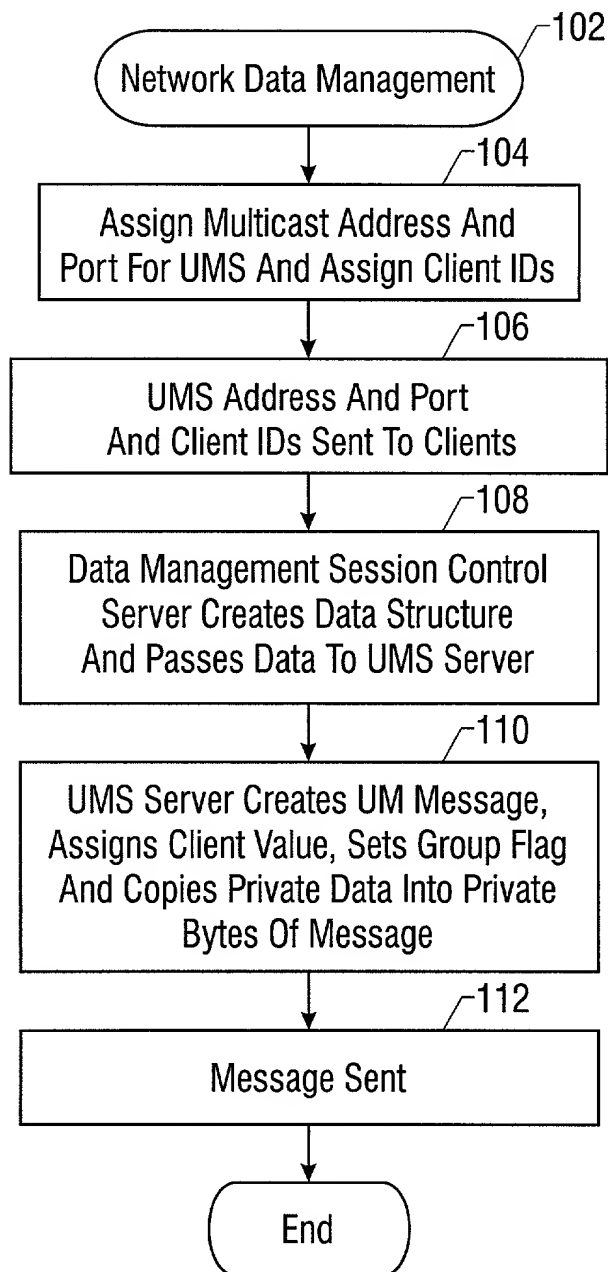
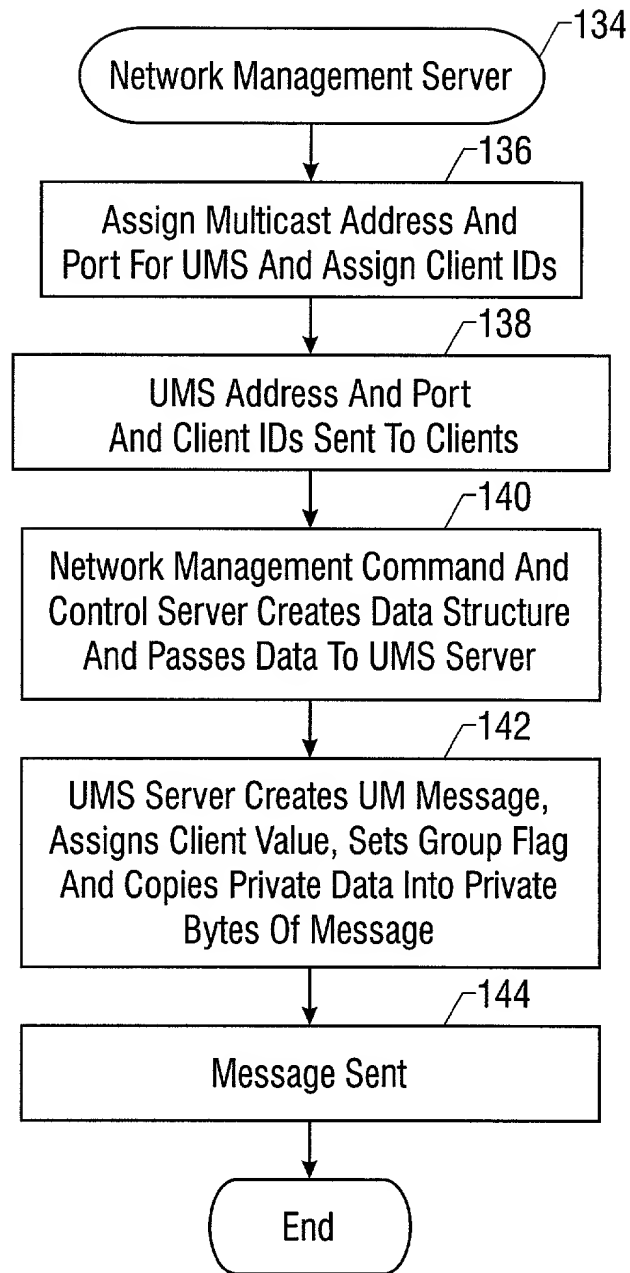


FIG. 4



**FIG. 5**

FIG. 6



**FIG. 7**

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## MANAGING REMOTE CLIENTS

the specification of which

X	is attached hereto.
	was filed on _____ as
	United States Application Number _____
	or PCT International Application Number _____
	and was amended on _____
	(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):			Priority Claimed	
Number	(Country)	(Day/Month/Year Filed)	Yes	No
Number	(Country)	(Day/Month/Year Filed)	Yes	No
Number	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under title 35, United States Code, Section 119(e) of the United States provisional application(s) listed below:

_____ (Application Number)	_____ (Filing Date)
_____ (Application Number)	_____ (Filing Date)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____ (Application Number)	_____ Filing Date	_____ (Status-patented, pending, abandoned)
_____ (Application Number)	_____ Filing Date	_____ (Status-patented, pending, abandoned)

I hereby appoint Timothy N. Trop, Reg. No. 28,994; Fred G. Pruner, Jr., Reg. No. 40,779 and Dan C. Hu, Reg. No. 40,025 my patent attorneys, of TROP, PRUNER & HU, P.C., with offices located at 8554 Katy Freeway, Ste. 100, Houston, TX 77024, telephone (713) 468-8880, and Mirho, Charles A.; Registration No. 41,199; Novakoski, Leo V.; Registration No. 37,198; Reynolds, Thomas C.; Registration No. 32,488; Seddon, Kenneth M.; Registration No. 43,105; Seeley, Mark; Registration No. 32,299; Skabrat, Steven P.; Registration No. 36,279; Skaist, Howard A.; Registration No. 36,008; Su, Gene I.; Registration No. 45,140; Wells, Calvin E.; Registration No. 43,256; Werner, Raymond J.; Registration No. 34,752; Winkle, Robert G.; Registration No. 37,474; and Young, Charles K.; Registration No. 39,435 my patent attorneys, of INTEL CORPORATION with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Timothy N. Trop, TROP, PRUNER & HU, P.C., 8554 Katy Freeway, Ste. 100, Houston, TX 77024 and direct telephone calls to Timothy N. Trop, (713) 468-8880.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



